



OPENCHAIN

10-07-2019

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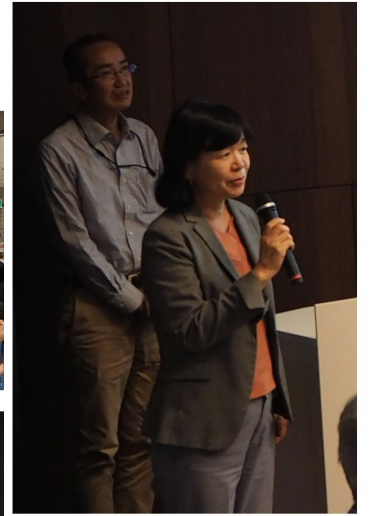
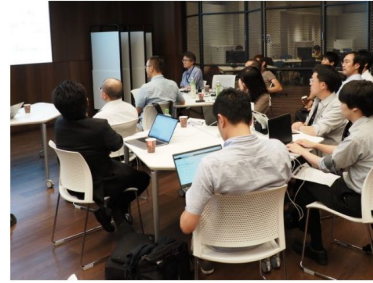
# Agenda

- Roll call
- Project Update
- Specification Work Team
- Conformance Work Team

# Project Update

- OpenChain Taiwan Meeting # 2: Outcomes - September 28, 2019
  - <https://www.openchainproject.org/news/2019/09/28/openchain-taiwan-meeting-2-outcomes>
- OpenChain China Work Group Meeting # 1: Outcomes - September 26, 2019
  - <https://www.openchainproject.org/news/2019/09/26/openchain-china-work-group-meeting-1-outcomes>
- OpenChain Japan Work Group Meeting # 11: Outcomes - September 20, 2019
  - <https://openchainproject.org/news/2019/09/20/openchain-japan-work-group-meeting-11-outcomes>
- OpenChain India Work Group Meeting # 1: Outcomes - September 6, 2019
  - <https://www.openchainproject.org/news/2019/09/06/openchain-india-work-group-meeting-1-outcomes>

# Project Update



# Project Update

- The OpenChain Interview Series continues:  
<https://www.openchainproject.org/openchain-interview-2>
- All @ <https://www.openchainproject.org/about/leaders>

**Our second interview is with Yoshiko Ouchi from Fujitsu**



**OPENCHAIN** About Get Started Translations R

Community

### The OpenChain Interviews

We are launching a series of interviews about the people behind the OpenChain Project. While open source is mostly about software, and governance is mostly about licenses, it is also the story of thousands of individuals collaborating. We hope these interviews with inform and inspire our readers, and encourage more people to participate in open source and OpenChain.

MEET KAYOKO FROM TUV SUD

MEET YOSHIKO FROM FUJITSU



# Specification Work Team

- Current Status
  - The latest draft of the next version of OpenChain Specification can be found here:  
[https://wiki.linuxfoundation.org/\\_media/openchain/OpenChainSpec-2.1.draft.MarkUp.pdf](https://wiki.linuxfoundation.org/_media/openchain/OpenChainSpec-2.1.draft.MarkUp.pdf)
  - Our objective is to make only minor clarification changes over version 2.0 while we wait for ISO adoption (currently in progress). We discussed a half dozen items posted on the specification issue list at the Open Source Summit, North American F2F OpenChain meeting:  
<https://github.com/OpenChain-Project/Specification/issues>
  - After discussing the various open issues we decided to make only one minor change: Because the terms “Software Staff” and “Program Participants” were used interchangeably in the spec, it was decided to replace each use of “Software Staff” with “Program Participants” which is more consistent with ISO terminology.
- This means we have some “issues left behind” until the next release. Check Open and Closed Issues on the GitHub link above. Comments or concerns?

# Conformance Work Team

- Options around Conformance - slide deck initiated by Nicole at TUV SUD and with further input from Marcel at PwC Germany.
- Full deck (old+new) here:  
[https://docs.google.com/presentation/d/1E1am2wo0K3PbovtoByEOkvLWK2SLfv\\_cLFXo7VXJJ9o/edit#slide=id.g63a2ddb8b6\\_7\\_78](https://docs.google.com/presentation/d/1E1am2wo0K3PbovtoByEOkvLWK2SLfv_cLFXo7VXJJ9o/edit#slide=id.g63a2ddb8b6_7_78)
- Today is a quick review of the new slides.



*Dear all,*

*as mark-up version was too confusing, following slides show revised suggestion of the initial draft. Initial slides moved to backup at the end.*

*([https://docs.google.com/presentation/d/1E1am2wo0K3PbovtoByEOkvLWK2SLfv\\_cLFXo7VXJJ9o/edit#slide=id.g63a2ddb8b6\\_7\\_78](https://docs.google.com/presentation/d/1E1am2wo0K3PbovtoByEOkvLWK2SLfv_cLFXo7VXJJ9o/edit#slide=id.g63a2ddb8b6_7_78))*

*We suggest for further processing to switch to a Google Doc.*

*Thanks to TÜV Süd for your draft!*

*Kind regards,*

*Marcel*

*PwC Germany, [marcel.scholze@pwc.com](mailto:marcel.scholze@pwc.com)*

# Approaches to OpenChain Conformance

Further Enabling OpenChain Self-Certification  
for Organizations of all Sizes

Revised Version PwC Germany  
[marcel.scholze@pwc.com](mailto:marcel.scholze@pwc.com)  
24-Sep-2019

# Situation

There are three different options to ~~enable your Open Source Software (OSS) management and~~ achieve OpenChain Conformance :

1. Self-Certification - the organization assesses its Open Source Program and OpenChain deems the program as OpenChain Conformant
2. ~~Supported Self-Certification~~ Independent Compliance Assessment - the organization assesses its Open Source Program with a third party providing either support and guidance within the process towards OpenChain Conformance or reviews the organization's Open Source Program beforehand
3. Third Party ~~Confirmation~~ Certification/Audit - an independent body / third party provides an audit report and formal opinion on the organization's Open Source Program and confirms OpenChain Conformance

# Challenges

- Self-Certification might not fulfill the requirements of all parties within the supply chain ~~as~~ due to different approaches and expectations ~~might occur~~
- Various markets with various sizes of organizations might complicate comparability between different approaches to ~~confirmations of~~ OpenChain Conformance
- Lack of defined assessment approach or standard of Open Source Programs might increase individual interpretations and thereby strengthen heterogeneity
- Confidence in Self-Certification might be lower compared to a report from an independent body ~~as the organization itself might have a~~ due to potential conflicts of interest when self-reporting ~~its own OpenChain Conformance~~

# 1. Self-Certification

Self-Certification is the OpenChain standard approach. The organization assesses its Open Source Program and OpenChain deems the program as OpenChain Conformant

## 2. ~~Supported Self-Certification~~ Independent Compliance Assessment

~~Supported Self-Certification~~ Independent Compliance Assessment can help organizations in two different approaches to achieve a high quality level of OpenChain Conformance:

1. Third-party support and guidance within the process towards OpenChain Conformance allows for reduction of operational effort while adding industries' best practices to the Open Source Program
2. Third-party review of implemented Open Source Program regarding design and operationalization allows for adding value by enhancing existing and eliminating appearing issues

Despite all opportunities of adding value, the organization remains responsible for the Self-Certification.

In general, ~~Supported Self-Certification~~ the Independent Compliance Assessment approach has the opportunity to build a common understanding between organizations and within industries due to mutual exchange.

### 3. Third Party Confirmation Certification/Audit

Third Party ~~Confirmation~~-Certification/Audit adds additional objectivity to OpenChain Conformance as an independent third-party audit body reviews the organization's Open Source Program. The additional objectivity is achieved by segregating the responsibilities between setup and evaluation of the Open Source Program.

The results of the audit procedures are stated in a formal report, which can be used by the organization to prove its high level of OSS maturity within the supply chain.

By the mandatory integration of those third-party assessors in the OpenChain community, this approach will even further enable the industry-wide acceptance of the OpenChain standard.

# Process Flows for OpenChain Conformance

Assessment Procedure

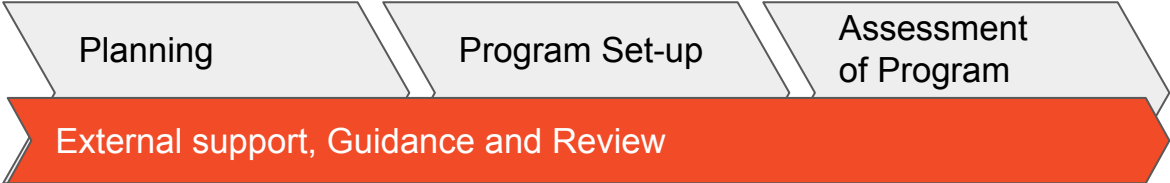
Outcome

Customer View

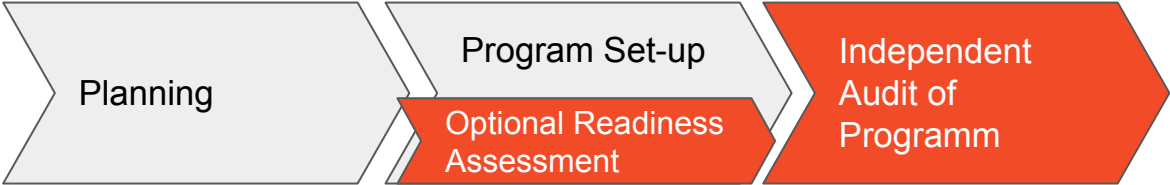
1) Self-Certification



2) ~~Supported Self-Certification~~ Independent Compliance Assessment



3) Third Party ~~Confirmation~~ Certification/Audit



- Organization
- OpenChain
- Third Party





Any Other Business?  
Questions?